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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Adalbert Bandemer and Dieter Palme**

U.S. Serial No.: **09/979,567**

U.S. Filing Date: **October 22, 2001**

US National Phase of: **PCT/DE00/01267, filed April 25, 2000**

CERTIFICATE UNDER 37 CFR 1.8(a)
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BY Stephan Gribok
REG. NO. 29643
DATE 1-22-02

For: **ASSEMBLY FOR MONITORING THE PERFORMANCE OF
D-WDM MULTI-FREQUENCY SYSTEMS**

INFORMATION DISCLOSURE STATEMENT

The Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Duty of Disclosure set forth in 37 CFR 1.97 the materials listed on the enclosed form PTO-1449 are hereby brought to the attention of the Examiner. Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. A copy of each is enclosed.

The claimed invention is believed patentable over the enclosed references. No representations are made regarding the references other than those set forth above.

Respectfully submitted,

Date:

Jan. 22, 2002

Stephan Gribok

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Form PTO-1449
U.S. Department of Commerce
Patent and Trademark Office

Docket No. 3212-25 (D4772-00020)

Serial No.: 09/979,567

INFORMATION DISCLOSURE
STATEMENT
IN AN APPLICATION

(Use several sheets if necessary)

Applicants: Bandemer, et al.

Filing Date: October 22, 2001

Group Art Unit:

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AA	EP 0 703 679 A	03/27/96	EPO				

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

	AB	M. Kohtoku, et al, "Packaged Polarization-Insensitive WDM Monitor With Low Loss (7.3 dB) and Wide Tuning Range (4.5 nm)", <i>IEEE Photonics Technology Letters</i> , Vol. 10, No. 11, November, 1998.
	AC	Zhong, et al., "Full-Coverage Multichannel Wavelength-Monitoring Circuit for WDM System", <i>Technical Digest Series</i> , Vol. 6, May 3, 1998
	AD	M. Teshima, et al., "100-Ghz-Spaced 8-Channel Frequency Control of DBR Lasers for Virtual Wavelength Path Cross-Connect System", <i>IEEE Photonics Technology Letters</i> , US, IEEE Inc., New York, December 1, 1996.
	AE	K. Otsuka, et al., "A High-Performance Optical Spectrum Monitor With High-Speed Measuring Time for WDM Optical Networks", <i>11th International Conference on Integrated Optics and Optical Fibre Communications</i> , Vol. 2, September 2, 1997

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.